

# Effect of the parameters on diffraction efficiency after thermal fixing for reflection geometry hologram storage in LiNbO<sub>3</sub>:Fe

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## Abstract

For reflection geometry hologram storage in LiNbO<sub>3</sub>:Fe, we have shown that the diffraction efficiency increases with doping level and thickness of storage material monotonically. When the acute angle between reference and *z*-axis is large enough for getting a relative small Bragg angle that is needed for angle multiplexing, smaller angle does good to diffraction efficiency after thermal fixing. And for absorption coefficient, there is an appropriate value responding to optimal diffraction efficiency after thermal fixing and we develop a theoretical model that predicts achievable diffraction efficiency after thermal fixing as a function of crystal thickness, doping level, acute angle between reference and *z*-axis and absorption coefficient. We compare this model with experiment results and get a good agreement.

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**Keywords:** Absorption coefficient; Doping level; LiNbO<sub>3</sub>:Fe; Diffraction efficiency; Thermal fixing

Volume holographic memory using photorefractive materials has become an important research focus that is pursued vigorously by many investigators for the potential in high-capacity storage and fast parallel readout. But for the practical realization, a reliable method for nondestructive readout of digital data must be demonstrated. A promising technique is thermal fixing [1] by compensation of mobile ion in photorefractive media and many investigations [2,3] have been done. In this paper, for multiplexed holograms storage in LiNbO<sub>3</sub>:Fe, we have studied the influence of all parameters on the final diffraction efficiency after thermal fixing for reflection geometry.

As shown in Fig. 1, *L* is the thickness of recording material,  $\theta$  is the acute angle between reference beam

and *z*-axis in the recording material and *c*-axis is  $\theta/2$  to *z*-axis. During hologram recording with extraordinary polarized light, the initial evolution of the local space-charge field can be obtained from the Kuktarev equation [4]. According to the analysis of Ref. [5], the first-order space-charge field could be expressed as

$$E_1(z) = m(z)E_{sc} \times \{1 - \exp[-t/\tau(z)] \exp[-jw_1(z)t]\},$$

where

$$m(z) = \frac{2\{S_0 \exp[-(\alpha/2)(L-z)]\}\{W_0 \exp[-(\alpha/2)z/\cos \theta]\}}{I_0(z)} \times \cos \theta,$$

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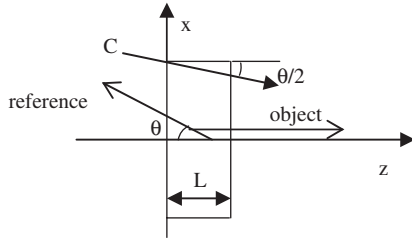


Fig. 1. Reflection geometry.

$$E_{sc} = E_q \left\{ \frac{E_{0ph}^2 + E_D^2}{[(N_A/N_D)E_{0ph}]^2 + (E_D + E_q)^2} \right\}^{1/2},$$

$$\tau_l(z) = \tau_{di}(z) \frac{1 + (E_D/E_\mu)}{1 + (E_D/E_q)} = \frac{\tau_x}{I_0(z)},$$

$$w_l(z) = \frac{1}{\tau_{di}(z)} \frac{N_A E_{0ph}}{N_D E_q} \frac{1}{1 + (E_D/E_\mu)}$$

and the parameters  $N_D$  is total Fe doping,  $N_A$  is initial  $Fe^{3+}$  concentration, dielectric relaxation time  $\tau_{di}(z) = (\epsilon/q\mu)[\gamma_R N_A / s I_0(z)(N_D - N_A)]$ , diffusion field  $E_D = k_R TK/q$ , saturation space-charge field  $E_q = qN_A(N_D - N_A)/\epsilon K N_D$ , drift field  $E_\mu = \gamma_R N_A / \mu K$  and photovoltaic field  $E_{ph} = p\gamma_R N_A / q\mu s$ .  $S_0$  and  $W_0$  are the signal and reference amplitudes at  $z = 0$  and  $L$ , respectively,  $I_0(z)$  is the local intensity, and  $\alpha$  is the intensity absorption coefficient. The change of oxidation state affects terms  $N_A$  and the absorption coefficient  $\alpha$  (proportional to  $N_D - N_A$ ). The total Fe-doping  $N_D$  remains unchanged during annealing.

For exposure times much shorter than  $\tau_l$ , including the absorption of the readout beam and scattering beam, the effective writing can write as

$$\begin{aligned} & d\sqrt{\eta(t)}/dt \Big|_{t=0} \\ &= \frac{S_0 W_0 k_0 n_e^3 \left( r_{33} \cos^2\left(\frac{\theta}{2}\right) - \frac{n_0^4}{n_e^4} r_{13} \sin^2\left(\frac{\theta}{2}\right) \right) \cos(\theta)}{\alpha \tau_x} \\ & \times \exp\left(-\frac{\alpha}{2} L\right) \left[ 1 - \exp\left(-\frac{\alpha}{\cos \theta} L\right) \right] \frac{E_q \sqrt{E_{ph}^2 + E_D^2}}{E_D + E_q}. \end{aligned}$$

During the erasure, the evolution of diffraction efficiency is

$$\begin{aligned} \eta(t) &\propto \int_0^L \exp\left(-\frac{\alpha z}{\cos \theta}\right) \exp\left(-\frac{t}{\tau_x} I_0(z)\right) dz \\ &\times \int_0^L \exp\left(-\frac{\alpha z}{\cos \theta}\right) \exp\left\{-\frac{t}{\tau_x} \right. \\ &\times \left. \left[ S_0^2 \exp(-\alpha(L-z)) + W_0^2 \exp\left(-\frac{\alpha z}{\cos \theta}\right) \right] \right\} dz \end{aligned}$$

So the erasure time constants  $\tau_e$  can be obtained approximately by

$$\begin{aligned} \frac{1}{\tau_e} &= \frac{-(d\eta/dt)|_{t=0}}{\eta|_{t=0}} \\ &= \frac{1}{\tau_x} \left\{ \frac{S_0^2}{1 - \cos \theta} \frac{\exp\left(-\frac{\alpha L}{\cos \theta}\right) - \exp(-\alpha L)}{\exp\left(-\frac{\alpha L}{\cos \theta}\right) - 1} \right. \\ &\quad \left. + \frac{W_0^2}{2} \left[ \exp\left(-\frac{\alpha L}{\cos \theta}\right) + 1 \right] \right\}. \end{aligned}$$

Therefore, the  $M/\#$  in reflection geometry is

$$M/\# = \left( d\sqrt{\eta(t)}/dt \Big|_{t=0} \right) \times \tau_e. \quad (1)$$

Once the recording has been done, thermal fixing is needed for nondestructive readout of digital data. According to Ref. [6] and assuming that the electronic gratings are fully compensated by the proton grating after heating, which may be realized when the density of ions is sufficiently large, the thermal fixing efficiency under the short-circuit condition is denoted as

$$\eta_{\text{fixing}} = \frac{\left( \frac{N_D - N_A}{N_D} E_{ph} \right)^2 + E_D^2}{\left( \frac{N_D - N_A}{N_D} E_{ph} \right)^2 + (E_D + E_q)^2}. \quad (2)$$

Thus, for a large number of holograms  $M$  storage overlapped, the diffraction efficiency after thermal fixing can be expressed by

$$\begin{aligned} \eta &= \left( \frac{M/\#}{M} \right)^2 \eta_{\text{fixing}} \\ &= \left\{ \frac{S_0 k_0 n_e^3}{M W_0} \left[ r_{33} \cos^2\left(\frac{\theta}{2}\right) - \frac{n_0^4}{n_e^4} r_{13} \sin^2\left(\frac{\theta}{2}\right) \right] \right\}^2 \\ &\times \left[ \frac{1}{\alpha} \exp\left(-\frac{\alpha}{2} L\right) \right]^2 \left[ 1 - \exp\left(-\frac{\alpha}{\cos \theta} L\right) \right]^4 \left( \frac{E_q \sqrt{E_{ph}^2 + E_D^2}}{E_D + E_q} \right)^2 \\ &\times \left\{ \frac{2(\cos \theta - 1) \cos(\theta)}{2 \left( \frac{S_0}{W_0} \right)^2 \left[ \exp\left(-\frac{\alpha L}{\cos \theta}\right) - \exp(-\alpha L) \right] + (1 - \cos \theta) \left[ \exp\left(-\frac{\alpha L}{\cos \theta}\right) - 1 \right]} \right\}^2 \\ &\times \frac{\left( \frac{N_D - N_A}{N_D} E_{ph} \right)^2 + E_D^2}{\left( \frac{N_D - N_A}{N_D} E_{ph} \right)^2 + (E_D + E_q)^2}. \end{aligned} \quad (3)$$

According to the definition of these parameters, it can be gotten  $E_D = 0.163/\Lambda$  and  $E_q = 9.6 \times 10^{-9} \Lambda (N_A/N_D)(N_D - N_A)$  with  $T = 300$  K and  $\Lambda = \lambda_0 \times 10^{-7}/(2n_e \cos(\theta/2))$ . According to Refs. [7,8], the value of  $E_{ph}$  is a range from  $10^{-15} N_A$  to  $3 \times 10^{-14} N_A$  and here we take  $10^{-14} N_A$ .

For absorption coefficient  $\alpha$ , research shows it is proportional to the density of filled traps [9]. By the study of Phillips and Staebler [10], to 0.001–0.1 mol% Fe-doped  $LiNiO_3$ , the amount of Fe ions per  $\text{cm}^3$  is  $1.89 \times 10^{20}$  for xmol% doping and especially  $N_D - N_A = 1.51 \times 10^{17} \alpha$  for extraordinary light at

450 nm. For the study latter in this paper,  $1.51 \times 10^{17}$  is adopted for 488 nm approximately and other parameters are used include  $r_{33} = 30.8 \times 10^{-12}$  m/V,  $r_{13} = 8.6 \times 10^{-12}$  m/V,  $n_e = 2.2446$ ,  $n_o = 2.20$  and  $S_0^2 + W_0^2 = 110$  mV/cm<sup>2</sup>. Actually the highest practical doping level is about 0.06 mol% limited by dark decay [11,12] and the angle  $\theta$  is limited by not only geometrical light-path (a rather large  $\theta$  is needed to make the diffracted data separate from the reflected light of reading light completely) and Bragg angle (when  $\theta$  is too small, the Bragg angle is rather large and it is not good for hologram multiplexing) which decides the minimum value in practical experiment but also the refractivity of LiNbO<sub>3</sub> which decides the maximum value. Practically we take  $5^\circ \leq \theta \leq 27^\circ$  in this paper.

With reference to Eq. (3), we study the diffraction efficiency  $\eta$ , which refers to the diffraction after thermal

fixing for all this paper, as the function of  $S_0/W_0$ ,  $L$ ,  $\alpha$ ,  $\theta$  and doping level. From the point of modulation depth,  $m_0 = S_0/W_0 = 1$  is benefit for high dynamic range. In fact, this is correct even when absorption is considered for reflection geometry as shown in Fig. 2. And to all this paper, we take  $m_0 = 1$  without noting.

As to the thickness of recording material, one observation from Fig. 3 is that the diffraction efficiency is monotone increasing with the increase of thickness when absorption coefficient is within the range that relatively better diffraction efficiency could be gotten. This is because for appropriate absorption coefficients the increasing of dynamic range is much more than the loss caused by the absorption for thicker material. But for reflection geometry reference beam is needed to cover object beam fully during the hologram recording processing and thus for high storage density the

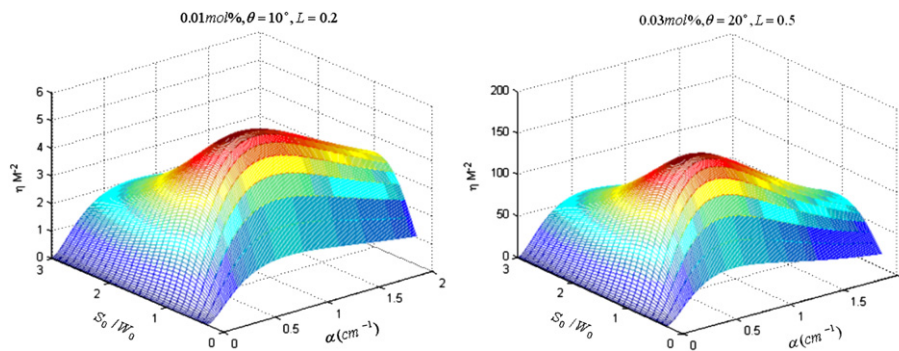


Fig. 2. Diffraction efficiency as a function of  $m_0$  under different condition.

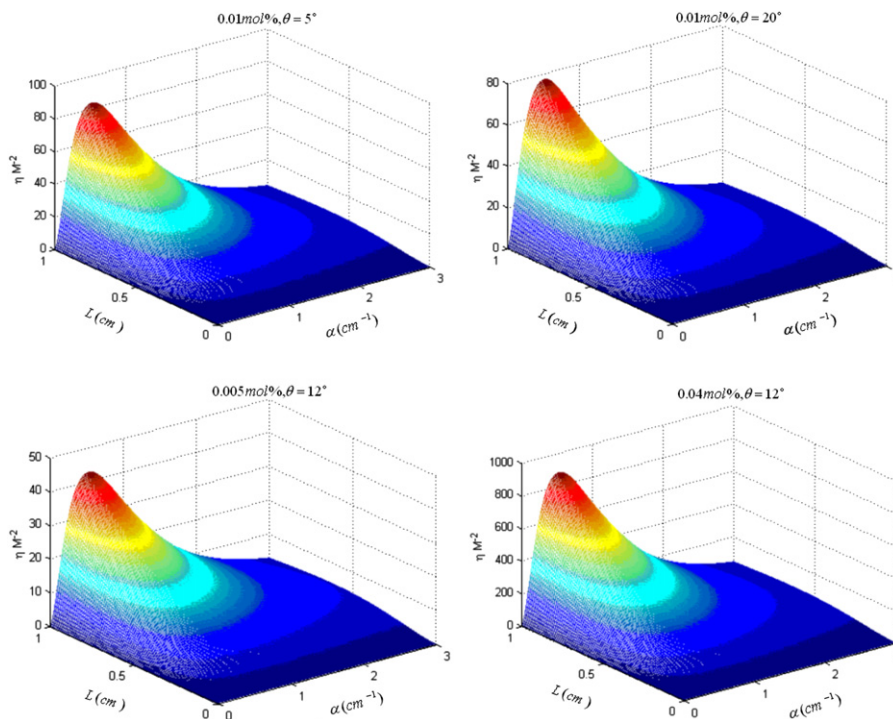
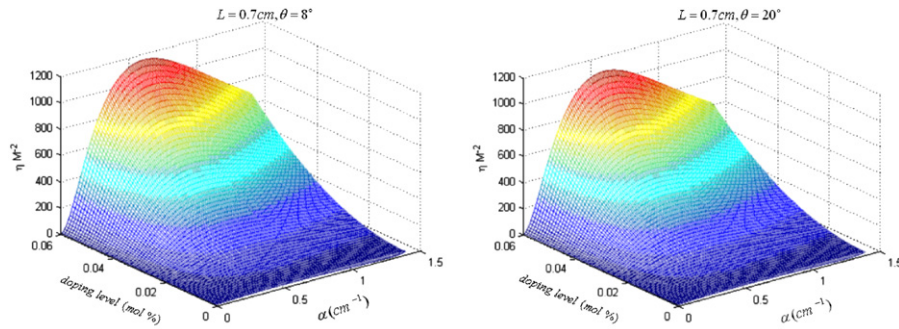


Fig. 3. Diffraction efficiency as a function of thickness of LiNbO<sub>3</sub>:Fe.



**Fig. 4.** Diffraction efficiency as a function of doping level with different  $\theta$  and absorption coefficient.

thickness of recording material could not be too much.  $L = 0.7$  cm is adopted for the rest of our study.

To the influence of doping level on diffraction efficiency, as shown in Fig. 4, our study gets the same result as that of thickness of  $\text{LiNbO}_3\text{:Fe}$ . Theoretically, diffusion field  $E_D$  is independent of doping level and saturation space-charge field  $E_q$  has little relationship with it except when doping level  $\leq 0.005$  mol%. Thus, only photovoltaic field  $E_{ph}$  is normally proportional to doping level. So dynamic range is larger with high doping level. But thermal fixing efficiency has nothing with doping level because the influence of  $E_{ph}$  to fixing efficiency is rather little. Experimentally for the preparation of material, high doping level does harm to the optical quality of  $\text{LiNbO}_3\text{:Fe}$ . Generally moderate doping level, 0.01–0.03 mol% is favorable for hologram recording.

Without consideration of the minimum limitation of  $\theta$ , it could be found the diffraction efficiency decreases with  $\theta$  as shown in Fig. 5. Although  $E_q$  increases with  $\theta$ ,  $E_D$  decreases. The decisive effect of  $E_D$  makes the thermal fixing efficiency fall down with  $\theta$ . For dynamic range, small value of  $\theta$  leads to ideal modulation depth and could reduce the loss of light. So it falls down with  $\theta$ , too. Thus these two factors lead to the monotonic degradation curve shown in Fig. 5.

The diffraction efficiency after thermal fixing is plotted in Fig. 6 as the function of absorption coefficient and  $\theta$  with  $5^\circ \leq \theta \leq 27^\circ$ . It shows with the diminution of  $\theta$ , the value of maximum diffraction efficiency increases with the corresponding absorption efficient between 0.5 and 1. According to Eq. (2), the thermal fixing efficiency decreases with absorption coefficient simply. But to dynamic range, the influence of absorption coefficient is a little sophisticated: a peak value of dynamic range is formed at a rather large absorption coefficient. This can be explained through Eq. (1): for dynamic range the two dominant terms are  $E_q$ , which increase with absorption, and  $\exp(-\alpha L/2 \cos \theta)$ , which decreases with absorption. Intuitively, dynamic range is small for low absorption because the number of photogenerated electrons is small. But for higher absorption coefficient, the losses

due to bulk absorption rapidly dominate, reducing the dynamic range. So the competing effect of bulk absorption and photorefractive dynamics leads to an absorption coefficient corresponding to the maximum dynamic range. Similarly for the diffraction efficiency after thermal fixing the value of absorption coefficient could be optimized for the best diffraction efficiency as the result of the completing between fixing factor and dynamic range factor (Fig. 7).

To verify the results of our calculation, we experimented the storage and thermal fixing of 500 holograms with different absorption coefficient with  $L = 0.7$  cm,  $m_0 = 1$ ,  $\theta = 17^\circ$  (corresponding to  $40^\circ$  outside  $\text{LiNbO}_3\text{:Fe}$ ) at 0.02 mol% doping level. The storage was carried out by angle multiplexing with a fixed object beam simplified as a parallel beam and 500 parallel reference beams in  $x$ – $z$  plane with  $0.03^\circ$  (outside the  $\text{LiNbO}_3\text{:Fe}$ ) separation around the reference beam of  $\theta = 17^\circ$ . Sequential exposure was adopted for equivalent diffraction efficiency. Then we heated the crystal to  $150^\circ\text{C}$  for thermal fixing. The crystal was allowed to cool and illuminated with UV light to reveal the fixed holograms. After each diffraction efficiency measurement, the crystal was annealed at  $980^\circ\text{C}$  in an argon–oxygen mixture. A combination of oxygen partial pressure and time was used as the control variable for changing the absorption coefficient measured at 488 nm extraordinary polarized light. No spatial variations in absorption coefficient were observed. Taking the diffraction efficiency corresponding to the reference beam of  $\theta = 17^\circ$ , Fig. 6 shows the comparing between experimental results and theoretical prediction. Exception that the experimental data is a little less than the prediction as a whole, it could be seen a good agreement between the theoretical prediction and the experimental data points. All the deviation could be explained as follows: (1) to the thermal fixing, the electronic gratings are not fully compensated by the proton grating after heating; (2) to angle multiplexing, the angle of references which is a range around  $\theta = 17^\circ$  is different from our model taking  $\theta$  as  $17^\circ$  exactly, (3) the coefficient of  $\alpha$  to  $N_D - N_A$  is not exactly for 488 nm.



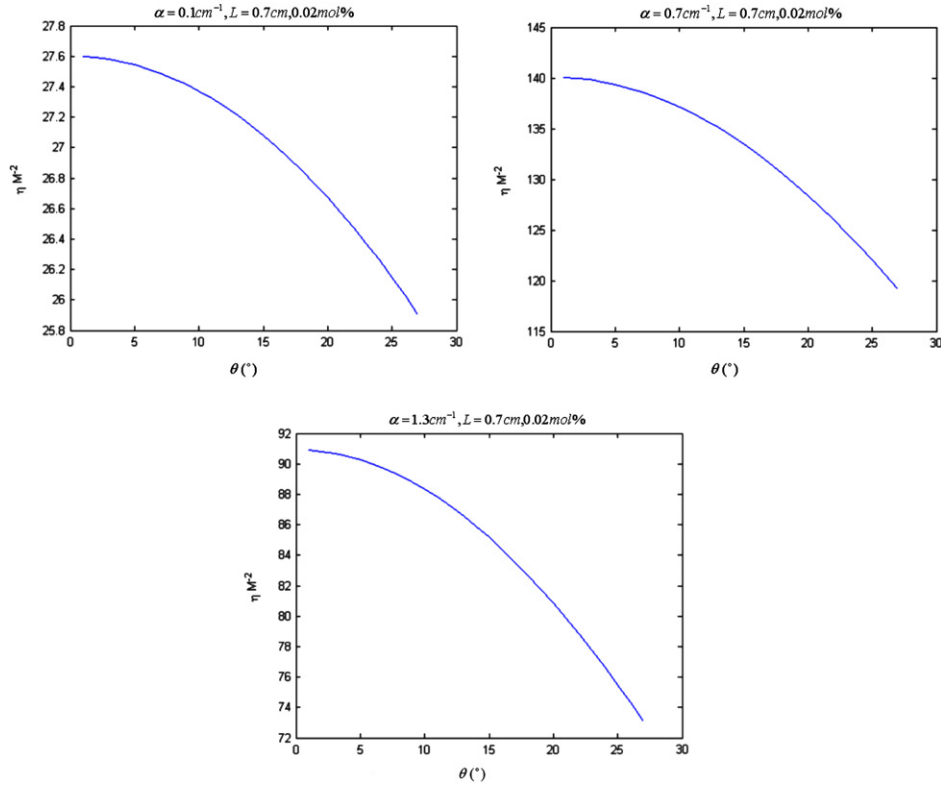


Fig. 5. Diffraction efficiency as a function of  $\theta$  with different absorption coefficient at 0.02 mol% doping.

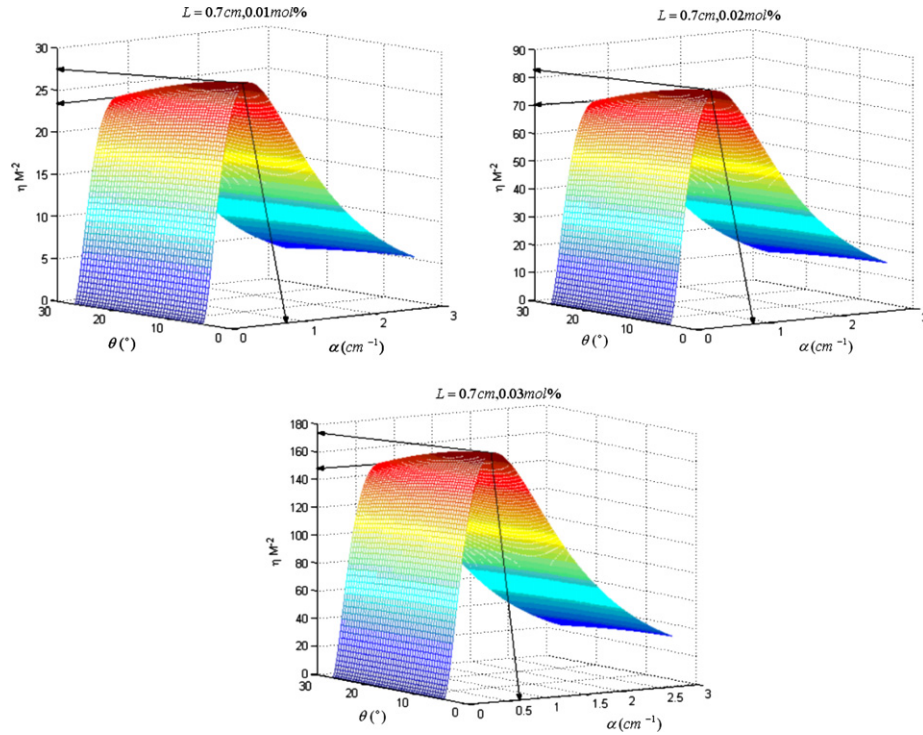
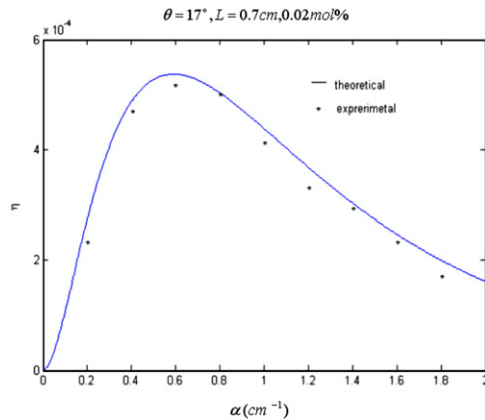


Fig. 6. Diffraction efficiency as a function of absorption coefficient and  $\theta$  with different doping level.

In conclusion, from our study we know higher doping and thicker  $\text{LiNbO}_3\text{:Fe}$  are benefit for diffraction efficiency. Also the acute angle between reference and

$z$ -axis is better as small as possible, but too small angle would lead to a big Bragg angle which limits the angle multiplexing and do harm to the separation of diffracted



**Fig. 7.** Comparison of diffraction efficiency between experiment and theoretical prediction as a function of absorption coefficient.

light and reflected light of readout light. For moderate acute angle between reference and  $z$ -axis, an appropriate absorption coefficient is needed for optimal diffraction efficiency after thermal fixing and our model may predict this value effectively. This has a practical value for hologram storage including thermal fixing in  $\text{LiNbO}_3\text{:Fe}$ .

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